

**Minutes of a Meeting
Eastern Kentucky University
Board of Regents**

**Quarterly Meeting
September 28, 2012**

**Minutes of a Regular Meeting
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A regular quarterly meeting of the Eastern Kentucky University Board of Regents convened at 1:11 p.m. on September 28, 2012, in Room 549, Student Success Building (SSB), Eastern Kentucky University, Richmond, Kentucky. Mr. Gary Abney, Chair of the Board, presided. Mr. Abney noted the presence of a quorum. The following members of the Board were present:

Mr. Gary Abney
Ms. Nancy Collins
Ms. Janet Craig
Dr. Malcolm Frisbie
Mr. Steven Fulkerson
Mr. Ernie House
Ms. Janie Miller
Ms. Madelyn Street
Mr. David Tandy
Mr. Craig Turner

The following member was not in attendance:

Ms. Anita Johnson

Other persons present at the meeting were:

Dr. Doug Whitlock, President
Mr. Barry Poynter, Treasurer
Ms. Virginia Underwood, Assistant Secretary
Members of the faculty and staff
Members of the media

Acceptance of Certifications of Election and Qualification to Serve as Member of the EKU Board of Regents

The Certifications of Election and Qualification to Serve as Member of the EKU Board Regents for Ms. Madelyn Street, Student Regent, and Mr. Steven Fulkerson, Staff Regent, were distributed to the Board in the Board agenda materials. Copies of the election certification and qualification documents are included with the Official Minutes of the Board of Regents.

Swearing in of New Members

Ms. Judy Spain, University Counsel, provided the oath of service to Mr. Craig Turner, reappointed by Governor Beshear to serve an additional six-year term as Regent, Mr. Steven Fulkerson, re-elected to serve an additional three-year term as Staff Regent, and to new

members, Ms. Janie Miller, appointed by Governor Beshear to serve an initial term of six years, and Ms. Madelyn Street, elected Student Regent for a term of one year. Mr. Abney congratulated and welcomed Ms. Miller and Ms. Street as new members and Mr. Fulkerson and Mr. Turner as continuing members.

Election of Officers

In accordance with the provision of the Board's Bylaws pertaining to the election of officers following the Governors appointment of a new member to the Board, Mr. Abney declared the floor open for nominations, excluding Chair of Board which is elected annually. Dr. Frisbie nominated Mr. Turner, Vice Chair; Mr. Barry Poynter, Treasurer; Mr. Fulkerson, Secretary; and Ms. Virginia Underwood, Assistant Secretary. There being no further nominations, Dr. Frisbie made a motion to approve the nominations as presented. Mr. House seconded. The motion was approved by voice vote.

Approval of the June 11, 2012 Minutes

Mr. Tandy made a motion, seconded by Ms. Craig, that the minutes of the regular quarterly meeting of June 11, 2012 be approved as distributed. The motion passed by voice vote.

Remarks by Mr. Dustan McCoy, ECU Foundation Chair

Mr. Abney recognized Mr. Dustan McCoy, ECU Foundation Chair, via teleconference, to provide the Board with a brief update related to the ECU Foundation. Mr. McCoy shared with the Board that investments were up 9.2%, noting positive growth and his satisfaction with the results on a year-to-date basis. Mr. Abney thanked Mr. McCoy for his report.

President's Report

Mr. Abney recognized President Whitlock to provide the President's Report.

President Whitlock referred the Board to the *ECU News and Accomplishments* in their Board folders. A copy of this handout is included with the Official Minutes of the Board of Regents. President Whitlock advised the Board of the outlook regarding the University's upcoming Southern Association of Colleges (SACS) five-year reaccreditation update report. He noted offices and departments across campus are in the process of compiling necessary information to provide to SACS officials to secure ECU's compliant SACS accreditation status. President Whitlock discussed the University's progress in reference to the institution's Quality Enhancement Plan and its relevance to the SACS five-year institutional accreditation report. He noted the relevance of key performance indicators in conjunction with the institution's Quality Enhancement Plan and highlighted the efforts undertaken to improve the institution's four-year graduation rate and reduction in developmental enrollments. President Whitlock shared with the Board the number of students requiring English remediation was down 56% in the two-year reporting period.

President Whitlock shared with the Board other efforts currently undertaken by faculty and administrators to improve student learning and institutional effectiveness. He noted one initiative, the successful completion of ECU's Assurance of Learning Day, which took place on September 26, 2012. He advised this day was for faculty to focus on measuring student outcomes and student success.

President Whitlock and Mr. James Street, Vice President of Administration, provided the Board with a brief overview of the proposed pedestrian bridge over Lancaster Road, planned for construction in collaboration with a local developer. President Whitlock advised the Board of the intrinsic strengths of this project, including the detail outlining the University's ownership of the pedestrian bridge in 15 years. He noted the bridge is scheduled to include elevators to enhance accessibility.

President Whitlock shared with the Board ongoing professional development initiatives he will continue to focus efforts upon, including a presentation in October to the American Association of State Colleges and Universities (AASCU), continuing relationship cultivation with members of the American Committee for the Kiyosato Experimental Education Project (KEEP). Mr. Abney thanked President Whitlock for his report.

Committee Agendas

Information Agenda

Executive and Academic Affairs

Committee Report

Mr. Abney reported the Executive and Academic Affairs committee met prior to the Board meeting. He noted the committee will bring five action items for Board consideration and action during the action agenda of the Board meeting. Mr. Abney recognized Dr. James Fisher of James L. Fisher LTD to provide a presentation regarding proposed University assessment consulting services.

Discussion with University Assessment Consultant

Dr. Fisher provided the Board with an informational overview covering his projected scope of University assessment consulting services. He discussed his planned assessment process, which he projected to take place over the timeframe of three months with a tentative completion of data gathering in December 2012. Dr. Fisher discussed his assessment philosophy and answered questions regarding his assessment process. He advised that he has no bias or financial investment in ECU or the state of Kentucky. Mr. Abney thanked Dr. Fisher for his presentation.

Presidential Search Process

Mr. Abney shared a statement outlining the University's search process for the next President of ECU. He thanked President Whitlock for his service and guidance over the years. He also expressed gratitude to Mrs. Whitlock and wished President and Mrs. Whitlock the best in the coming years.

Mr. Abney shared detail regarding the process the Board will follow for hiring a new President. He noted the Board's commitment to provide the opportunity to the University community to provide input through participation in forums. Mr. Abney advised a search and screening committee would be formed to include representation from faculty, staff, students, alumni, the ECU Foundation Board, the external community and the Board of Regents.

Mr. Abney stated that in accordance with the University procurement process, ECU has issued a Request for Proposals (RFP) to seek an executive search firm to assist the Board in the Presidential search process. He advised that the University has received four responses to the RFP. Mr. Abney further advised that the Board will analyze these proposals and invite one or more firms to campus for an interview in mid-October. Mr. Abney further stated that once the search and screening committee is in place, and the Board has selected a firm to assist in the search process, the next step will be to schedule a series of forums to gather input from various constituent groups to include faculty, staff, students, alumni, the Foundation, and community leaders.

Additionally, Mr. Abney noted the importance of an open search process in which various stakeholders can participate, but also the importance of protecting the identity of applicants in order to attract the strongest candidates possible. In conclusion, Mr. Abney stated he looked forward to a successful search process and to the selection of ECU's twelfth President.

Construction and Project Update

Mr. James Street provided an update and slide presentation on a variety of capital projects, including Phase I of the New Student Housing Development. Mr. Street advised the Board the new housing structure is scheduled for completion by August 13, 2013. A copy of the slide presentation is included with the Official Minutes of the Board of Regents. Mr. Street was available for questions and discussion.

Progress Report: Noel-Levitz Enrollment and Revenue Management System

Dr. Elizabeth Wachtel, Acting Vice President of Enrollment Management, Marketing and University Relations, provided the Board with an enrollment management update. Additionally she presented a slide presentation which provided metrics associated with enrollment trends at ECU. A copy of this presentation is included with the Official Minutes of the Board of Regents.

Dr. Wachtel shared detailed information regarding the scholarship spectrum at ECU, including scholarship type and the amount awarded to each student who qualifies. The Board engaged in

discussion of the difference between scholarship awards for in-state students compared to out-of-state students. Dr. Wachtel commended the efforts of multiple University offices for supporting current and potential students through the enrollment process at ECU. Dr. Wachtel was available for further discussion and questions. Mr. Abney thanked Dr. Wachtel for her report.

Executive Session

Mr. Abney noted that a motion would be in order for the Board to go into executive session pursuant to KRS 61.810(1)(b) (c) and (f) for the purpose of discussing the potential acquisition of property, pending litigation and matters relating to personnel issues appropriate to executive session. Mr. Turner made the motion to the effect requested by the Chair. Mr. Fulkerson seconded. The motion passed by voice vote. The Board went into executive session.

Upon returning to open session, Mr. Abney noted that only matters stated in the motion were discussed. Mr. House made a motion to reconvene; Ms. Miller seconded.

Finance and Planning Committee

Committee Report

Mr. Turner advised the Board the Finance and Planning Committee met prior to the Board meeting. He noted the committee received a detailed report from the University's external auditor, Crowe Horwath LLP. Mr. Turner stated the representative presented the committee with a clean report and expressed his gratitude for Crowe Horwath's excellent communication and strong reporting. He noted the management report from 2011 has been addressed. Mr. Turner also stated the committee will be recommending three items for Board consideration and action.

Action Agenda

Finance and Planning Committee

Approval of Audit for Fiscal year Ended June 30, 2012

Mr. Turner presented the recommendation of the Finance and Planning Committee's to accept the audit for fiscal year ended June 30, 2012. Ms. Miller seconded. The roll was called and the following members voted "aye": Ms. Collins, Ms. Craig, Mr. Fulkerson, Dr. Frisbie, Mr. House, Ms. Miller, Ms. Street, Mr. Tandy, Mr. Turner, and Mr. Abney. The motion passed.

Resolution Relating to the Refunding of State Property and Buildings Commission Agency Fund Revenue Bonds, Project No. 75 and Agency Fund Revenue and Revenue Refunding Bonds, Project No. 80, Series A

Mr. Turner presented the recommendation of the Finance and Planning Committee's to accept and approve the Resolution Relating to the Refunding of State Property and Buildings Commission Agency Fund Revenue Bonds, Project No. 75 and Agency Fund Revenue and Revenue Refunding Bonds, Project No. 80, Series A. Ms. Collins seconded.

The roll was called, the following members voted "aye": Ms. Collins, Ms. Craig, Mr. Fulkerson, Dr. Frisbie, Mr. House, Ms. Miller, Ms. Street, Mr. Tandy, Mr. Turner, and Mr. Abney. The motion passed.

Revised Tuition Rates for Online Programs for 2012-13

Mr. Turner presented the recommendation of the Finance and Planning Committee for the Board to accept and approve revised tuition rates for online programs for 2012-13, as distributed to Board members prior to the meeting. The approved revisions were for specific rates for new online program degree offerings for spring 2013 for Nursing, Psychiatric Mental Health; Nursing, Public Health; Education, Elementary Education; Education, Instructional Leadership; Education, Special Education. The revision also included the establishment of a "generic" rate that would serve as a default rate for new programs being brought online. Copies of the approved tuition rates referenced above are included with the Official Minutes of the Board of Regents. Mr. House seconded. The roll was called, the following members voted "aye": Ms. Collins, Ms. Craig, Mr. Fulkerson, Dr. Frisbie, Mr. House, Ms. Miller, Mr. Tandy, Mr. Turner, and Mr. Abney. Ms. Street voted "nay." The motion passed.

Executive and Academic Affairs Committee

Personnel Actions

President Whitlock made recommendations to the Board for the employment of new and continuing faculty and staff, new and continuing employment of persons whose employment is contingent on outside funding, retirements, faculty and staff whose service has ended, 2012 summer semester payroll on-campus for part-time faculty and laboratory assistants, 2012 summer semester payroll off-campus for part time faculty and laboratory assistants and an addendum to recommended 2012-2013 sabbatical leaves. President Whitlock also referred Board members to an addendum provided in their materials correcting the classification for one employee. Dr. Frisbie made a motion to approve; Mr. Fulkerson seconded. The roll was called, the following members voted "aye": Ms. Collins, Ms. Craig, Mr. Fulkerson, Dr. Frisbie, Mr. House, Ms. Miller, Ms. Street, Mr. Turner, Mr. Tandy, Mr. Abney. The motion passed. The details of this item as approved are included with the Official Minutes of the ECU Board of Regents.

Policy Revision, 4.6.4P, Promotion and Tenure

In the absence of Dr. Janna Vice, Provost and Vice President of Academic Affairs, Dr. Sheila Pressley, Chair of the Faculty Senate, was designated by President Whitlock and Dr. Vice to present a set of revisions to Policy 4.6.4P to the Board for consideration and approval. Dr. Pressley noted the policy revisions reflect the current needs of faculty and academic departments regarding the promotion and tenure process. Dr. Pressley was available for questions and discussion. Mr. House made a motion to approve; Mr. Tandy seconded. The motion passed by voice vote. A copy of approved Policy 4.6.4P is included with the Official Minutes of the ECU Board of Regents.

Policy Revision, 4.7.1P, Use of Self-Authored Textbooks and Educational Materials

In the absence of Dr. Janna Vice, Provost and Vice President of Academic Affairs, Dr. Sheila Pressley, Chair of the Faculty Senate, was designated by President Whitlock and Dr. Vice to present a set of revisions to Policy 4.7.1P, Use of Self-Authored Textbooks and Educational Materials to the Board for consideration and approval. Mr. Turner made a motion to approve; Dr. Frisbie seconded. The motion passed by voice vote. A copy of approved Policy 4.7.1P, Use of Self-Authored Textbooks and Educational Materials, is included with the Official Minutes of the ECU Board of Regents.

Confirmation of Ohio Valley Conference Governing Board Certification

President Whitlock provided the Board with a brief overview of the purpose of the Ohio Valley Conference (OVC) Governing Board Certification, noting that ECU will submit the certification as required. Mr. Abney thanked President Whitlock for summary. Mr. House made a motion to approve the certification; Mr. Turner seconded. The motion was approved by voice vote.

Approval of Candidates for Fall 2012 Commencement

President Whitlock advised the Board candidates who have completed degree requirements for fall 2012 require Board approval for their degrees. Ms. Street made a motion to approve candidates for fall 2012 commencement, Mr. Turner seconded. The motion passed by voice vote.

Action Agenda

New Business

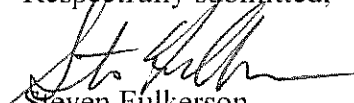
Mr. Abney advised the Board of the need for authorization to be granted to the Chair of the Board for the purpose of appointing members to the Presidential search committee. Mr. Turner made a motion to the effect requested by Mr. Abney; Mr. House seconded. The motion passed by voice vote.

President Whitlock noted the dedication of the New Science Building is scheduled to occur the morning of October 27, 2012. President Whitlock encouraged all members of the Board to attend if their schedules permit.

Mr. Abney informed the Board the date for the upcoming meetings have not been set and to contact Ms. Virginia Underwood with availability. He stated the next Board meeting is scheduled for October 13, 2012 for the purpose of meeting with representatives from the University's request for proposal for the purpose of identifying an executive search firm in conjunction with the Presidential search process. Additionally, Mr. Abney referred members to the dates of the events included in Other Business in the Board agenda.

With no further items to discuss, Mr. House made a motion to adjourn; Mr. Turner seconded. The Board adjourned at 4:23 p.m.

Respectfully submitted,


Steven Fulkerson,
Secretary